

# Predicting Performance and Stability of Tokamak Plasmas Using Flexible, Integrated Modeling

by

**Brendan C. Lyons<sup>1</sup>**

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E.A. Belli<sup>1</sup>, J.M. Hanson<sup>4</sup>, L.L. Lao<sup>1</sup>, N.C. Logan<sup>5</sup>, O. Sauter<sup>6</sup>, P.B. Snyder<sup>7</sup>, G.M. Staebler<sup>1</sup>, A.D. Turnbull<sup>1</sup>, D.B. Weisberg<sup>1</sup>

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\*work done while employed by General Atomics

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<sup>7</sup>Oak Ridge National Laboratory

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November 10<sup>th</sup>, 2021

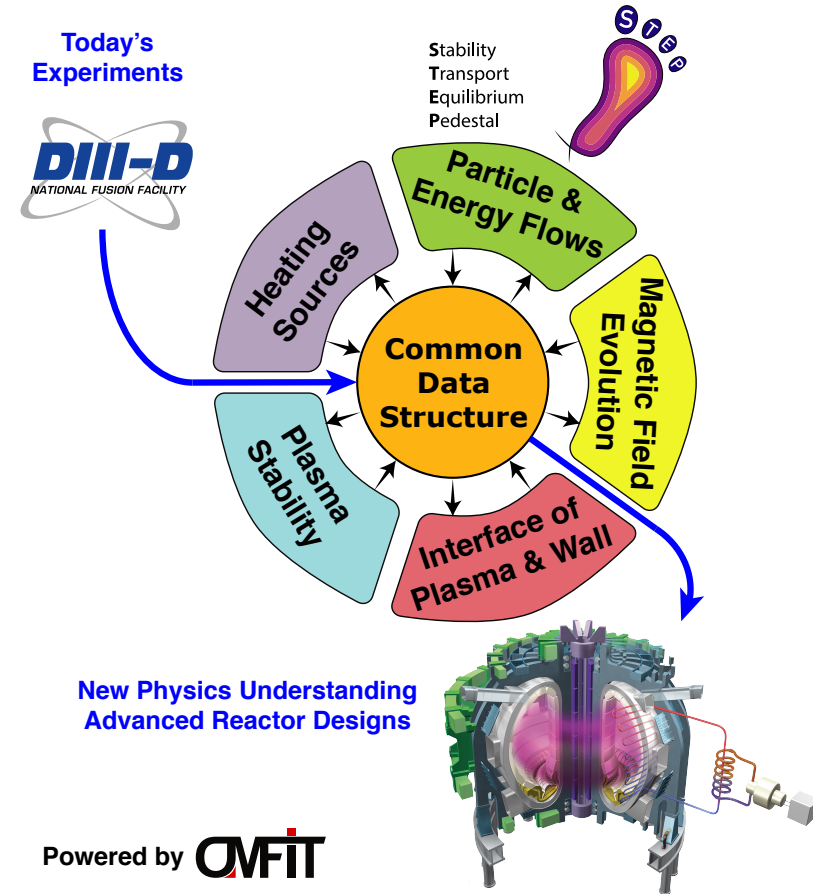


# Acknowledgements and Disclaimers

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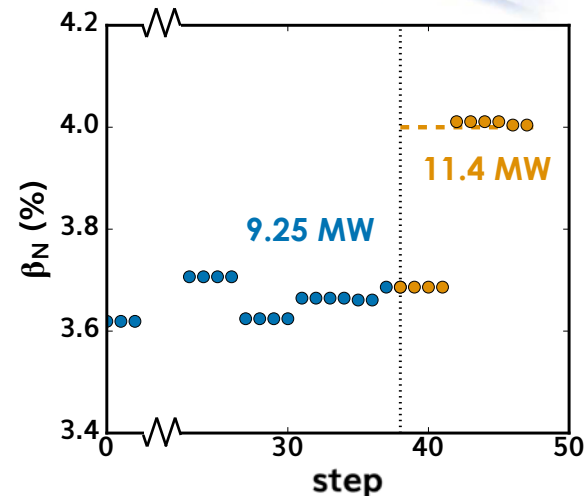
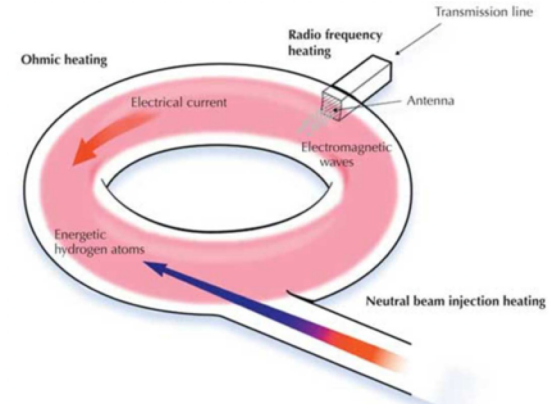
# STEP Developed to Predict Stable Tokamak Equilibria Self-Consistently With Core-Transport & Pedestal Calculations

- **Couples theory-based codes for different physics to analyze experiments and predict reactors**
- **Uses centralized data structure for communication**
  - Highly flexible workflow development
  - Easily swap between high-fidelity and reduced models (including neural nets)
- **Created in OMFIT for user-friendliness and wide access**



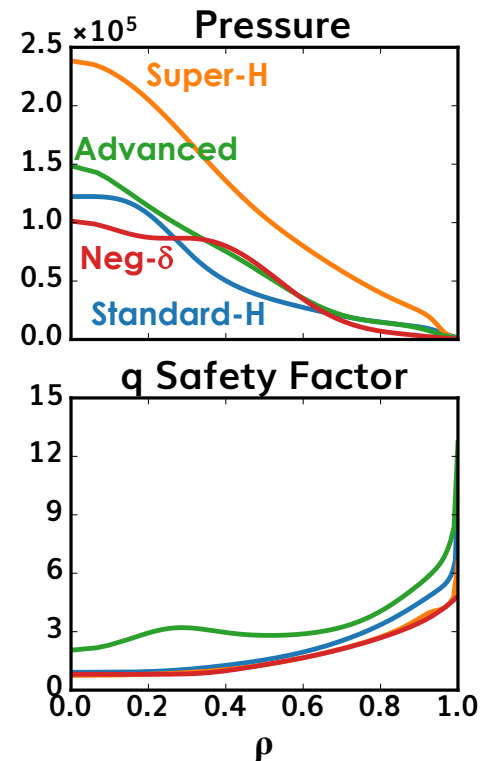
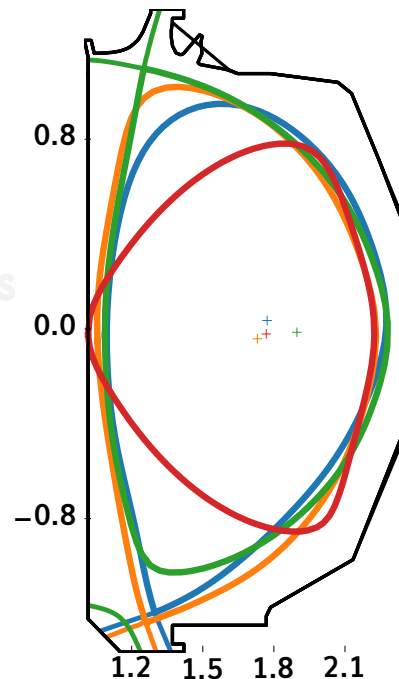
# Tokamak Reactor Designs Must Answer Critical, Coupled Questions

- How much and what kind of heating & current drive is needed to achieve a desired fusion gain?
- What scenario optimizes performance?
- Can I avoid or mitigate disruptions in high-performance scenarios?



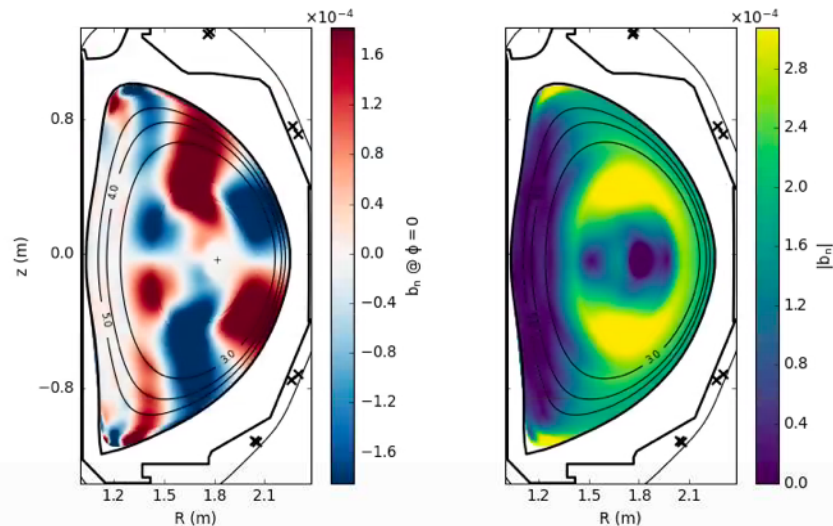
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**Ideal external kink instability  
from DCON**

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- **How much and what kind of heating & current drive is needed to achieve a desired fusion gain?**
- **What scenario optimizes performance?**
- **Can I avoid or mitigate disruptions in high-performance scenarios?**
- **And so many others...**
  - Can my scenario avoid, mitigate, or suppress edge-localized modes?
  - How do I avoid radiative collapse from excess impurities in the core?
  - Does my divertor solution preserve core performance?
  - Can my materials handle the steady-state and transient heat flux?

# First-Principle Codes and Reduced Models Typically Focus on a Subset of Relevant Physics

## Stability

NIMROD M3D-C1  
GATO DCON

## Transport

XGC (C)GYRO  
TGLF(-NN)

## Equilibrium

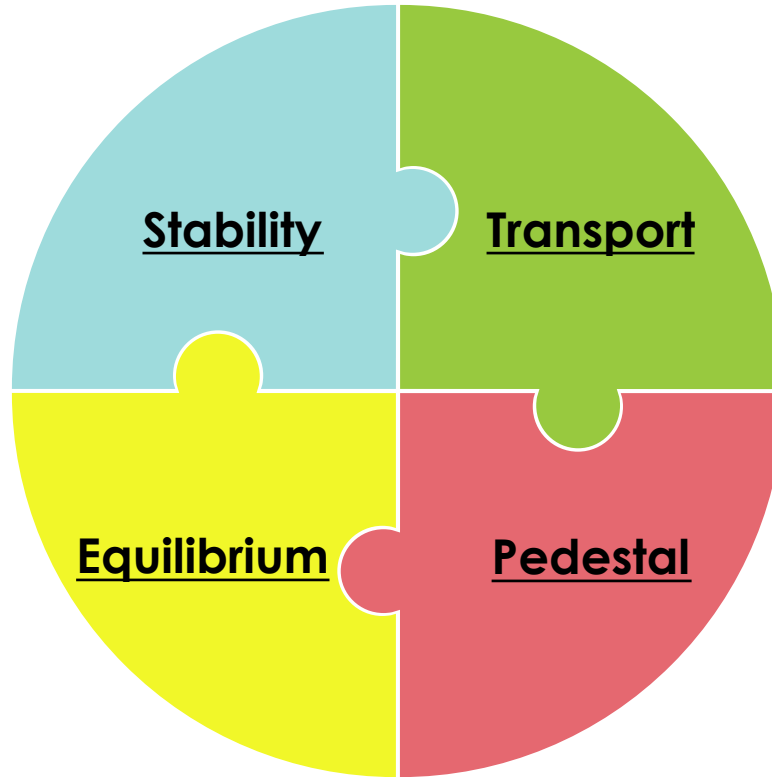
EFIT CHEASE

## Pedestal

EPED(-NN)



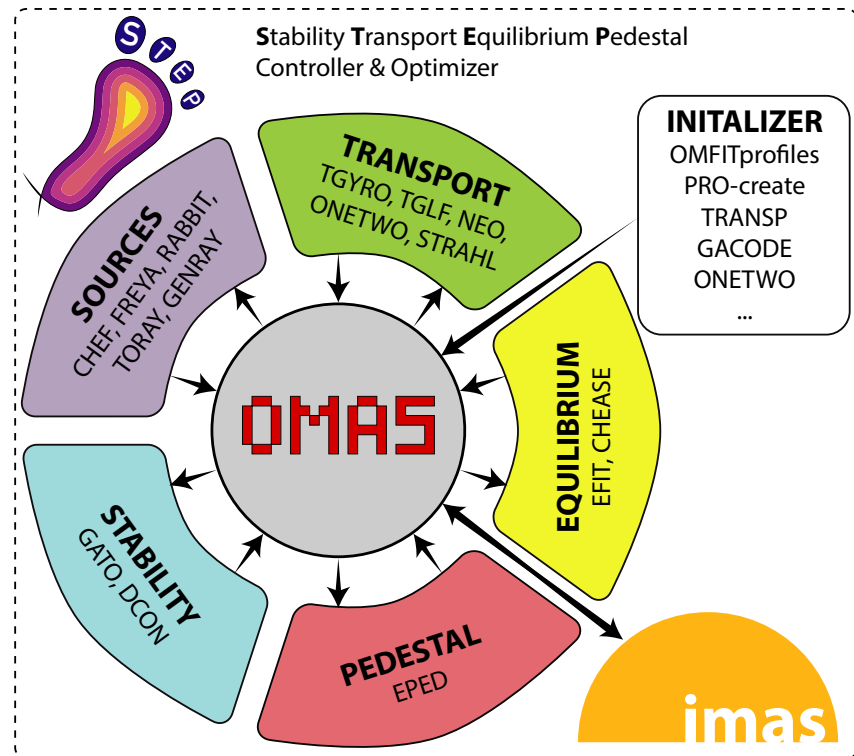
# Predictive Modeling of a Tokamak Reactor Requires an Integration of All These Physics



# Introduction to STEP Integrated-Modeling Workflow

# STEP Module in OMFIT Couples Stability, Transport, Equilibrium, & Pedestal Codes to Predict Tokamak Scenarios

- Each physics code is wrapped into a "step" that reads from & writes to centralized data structure
- Steps are interchangeable, permitting a variety of workflows
  - **Open-loop:** given these parameters, what does my plasma look like?
  - **Closed-loop:** given a desired plasma, what parameters do I need?
  - **Optimization:** what parameters maximize a desired plasma metric?
- **Initialize simulations from:**
  - Experimental data
  - Existing simulations
  - 0D parameters (via PRO\_create)
  - Data in ITER IMAS format

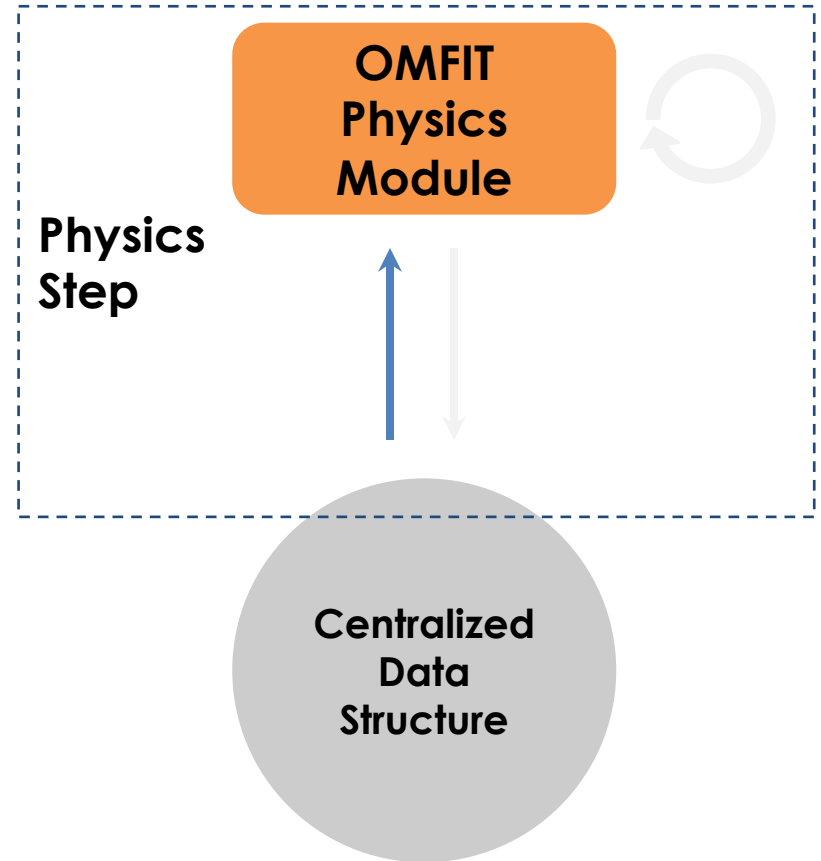


O. Meneghini et al. Nucl. Fusion **61**, 026006 (2021)

# STEP Wraps Other OMFIT Modules Into Individual “Steps”

## Each step:

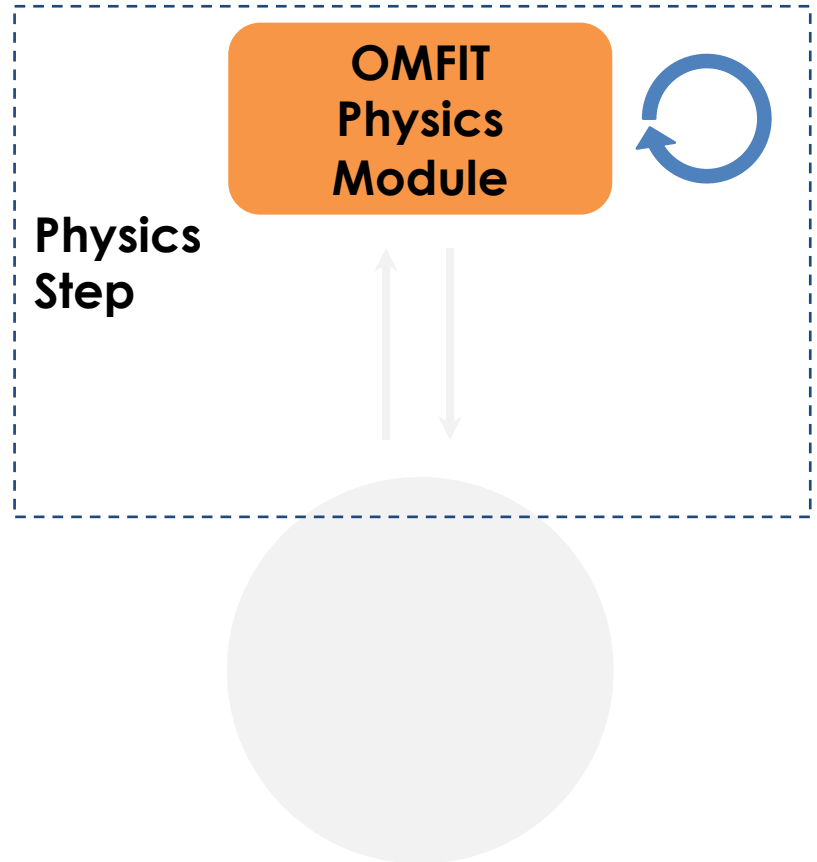
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- Automatically executes code
  - Robust default settings
  - Opportunity for detailed control and customization
- Write results to centralized data structure



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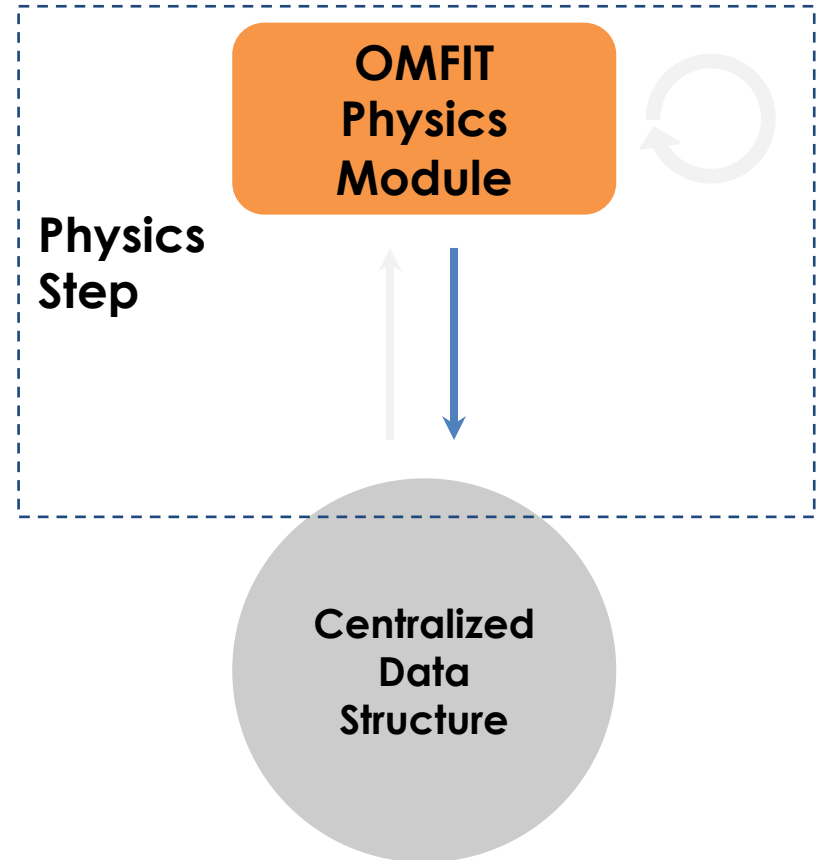
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## Each step:

- **Reads data from a centralized data structure**
- **Automatically executes code**
  - Robust default settings
  - Opportunity for detailed control and customization
- **Write results to centralized data structure**



# Many Physics Steps Already Available

## Stability

- DCON – Ideal MHD
- GATO – Ideal MHD

## Equilibrium

- EFIT – Free-boundary
- CHEASE – Fixed-boundary

## Pedestal

- EPED – Balances stability and transport

## Transport

- TGLF – Quasilinear gyro-Landau-fluid model
- NEO – Neoclassical drift-kinetic solver
- TGYRO – Runs multiple instances of TGLF & NEO to balance fluxes
- ONETWO – Current evolution
- STRAHL – Impurity transport

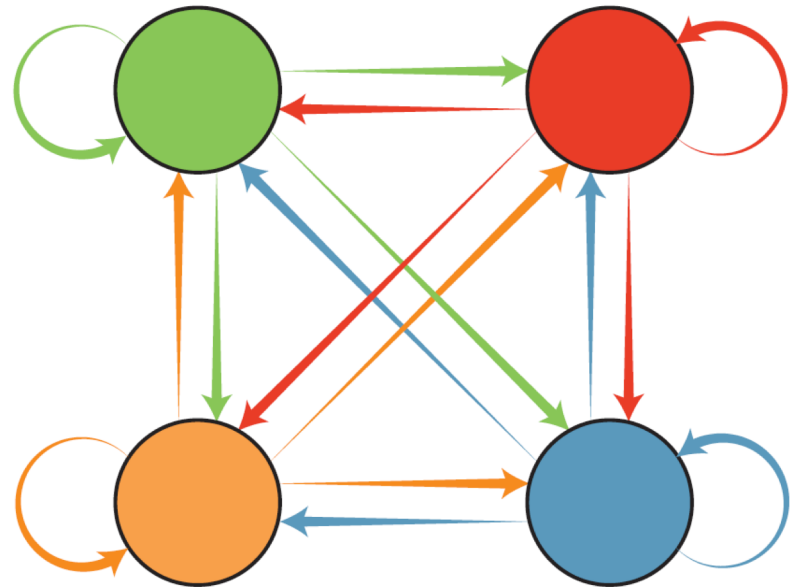
## Sources

- CHEF – Runs NBI, RF, and fueling models
- FREYA & RABBIT – NBI heating & current drive
- TORAY & GENRAY – RF heating & current drive

# STEP's Use of Centralized Data Exchange Greatly Facilitates Development of Flexible, Integrated Modeling Workflows

- If we have N codes, each speaking a different language, then arbitrary coupling requires  $N^2$  translators
- In practice, we end up creating static workflows
- Centralized data structures
  - Simplify addition of new codes (2 N translators: each code from and to data structure)
  - Permit arbitrary execution order

Free-form data communication  
(in theory)

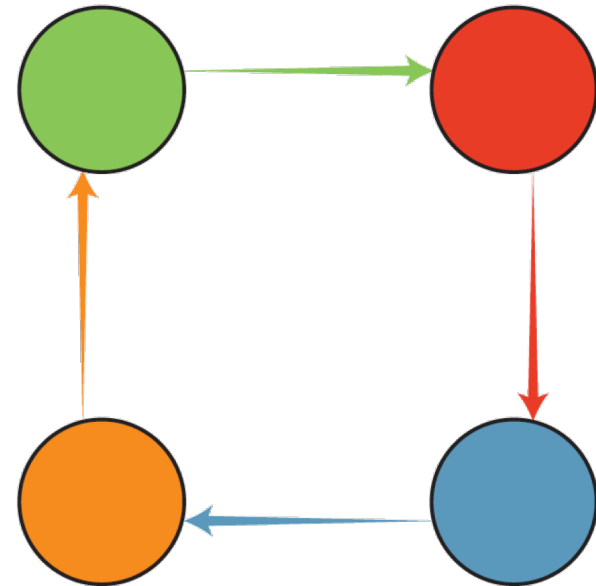




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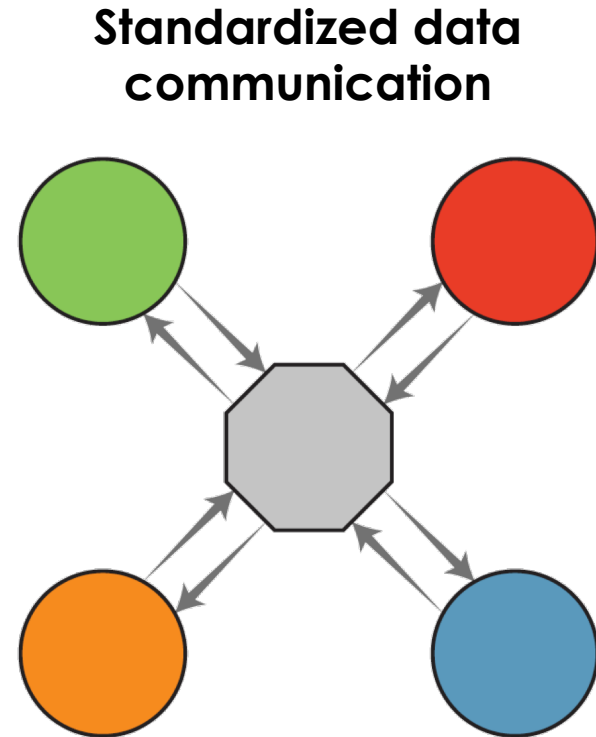
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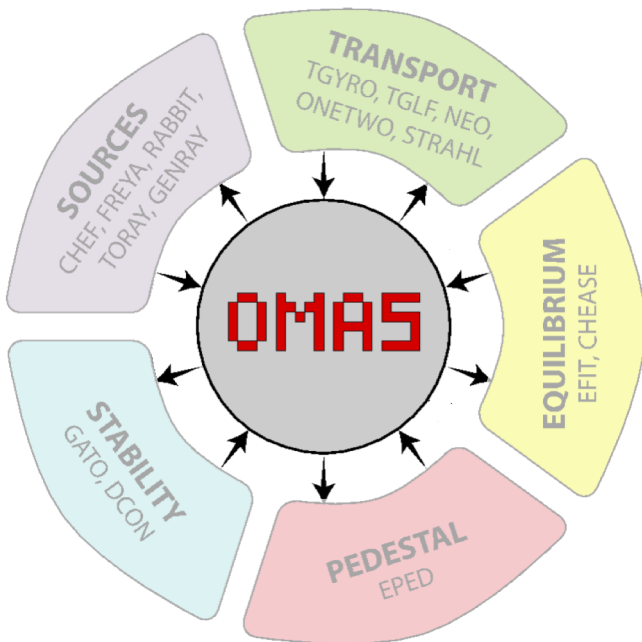
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- Adheres to ITER IMAS data schema, providing standardization for both experimental data and simulation results
- Interface Data Structures (IDSs) organize data hierarchically
- 68 IDSs, sorted by physics areas, e.g.,
  - equilibrium
  - core\_profiles
  - core\_sources

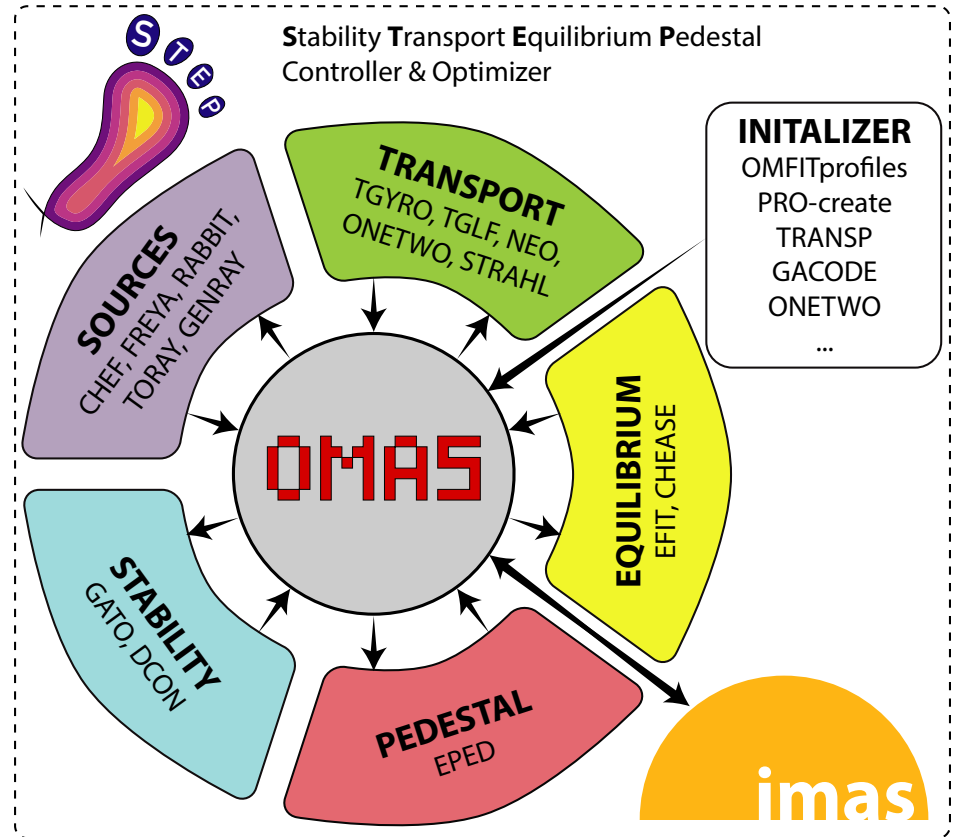
See Meneghini's poster  
Thursday PM, [UP11.00090](#)

```

▽ equilibrium
  ▽ time_slice
    ▽ 0
      ▽ global_quantities
        ip
          ▽ magnetic_axis
            b_field_tor
            r
            z
          ▽ profiles_1d
            phi
            psi
          ▽ profiles_2d
            ▽ 0
              b_field_tor
                ▽ grid
                  dim1
                  dim2
                  phi
                  psi
            time
  
```

# That's STEP! What Can We Do With It?

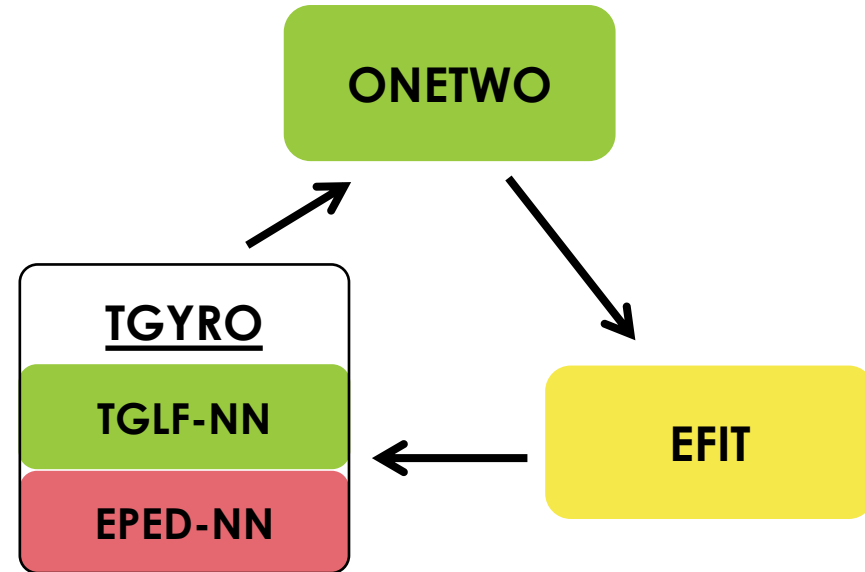
- Design your own workflow based on physics need
- *Manually* iterate through codes
- Define custom convergence conditions
- Define custom actuators and targets



# STEP Open-Loop, Self-Consistent Workflow Allows Prediction of Stationary Tokamak Plasmas

- **In general, for open-loop predictions we use:**
  - ONETWO for sources & current evolution
  - EFIT for equilibrium calculations
  - TGYRO (with neural nets)
    - TGLF for steady-state transport
    - EPED for pedestal height/width
- **Many variations are possible**
  - CHEASE for fixed-boundary equilibria (e.g., for future devices)
  - Full codes when neural nets not applicable
    - TGLF+NEO
    - EPED
  - CHEF for additional or increased control over sources

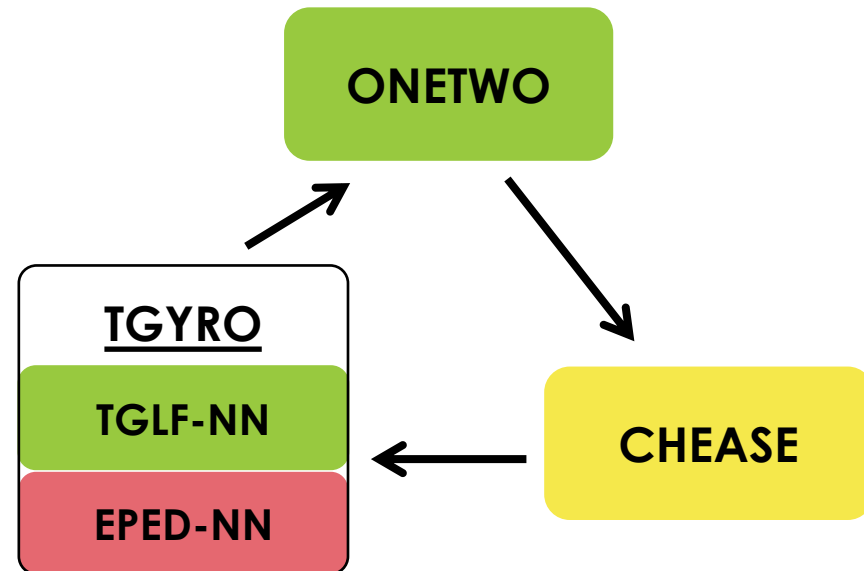
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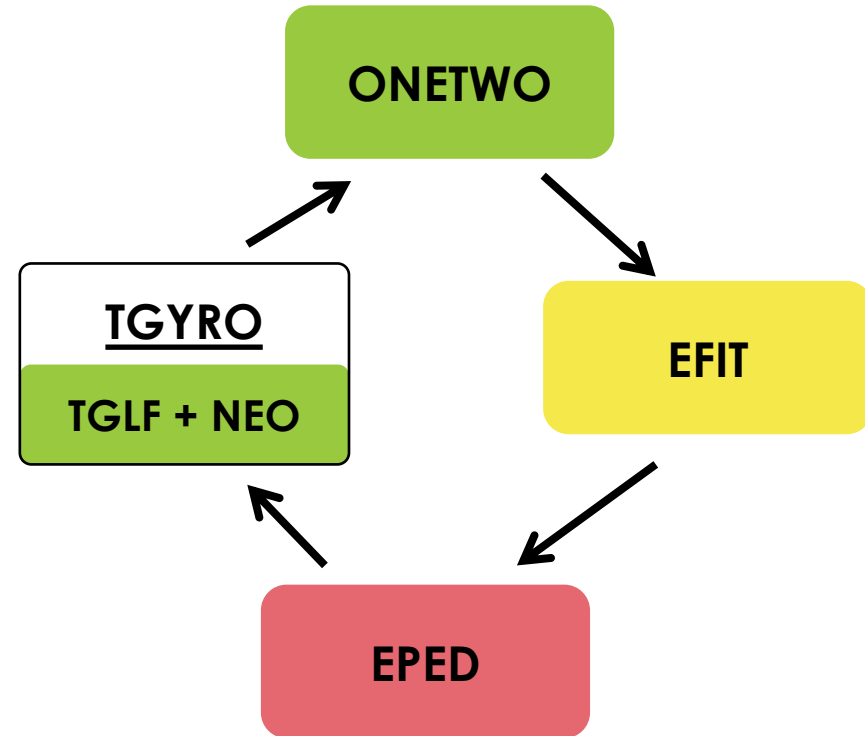
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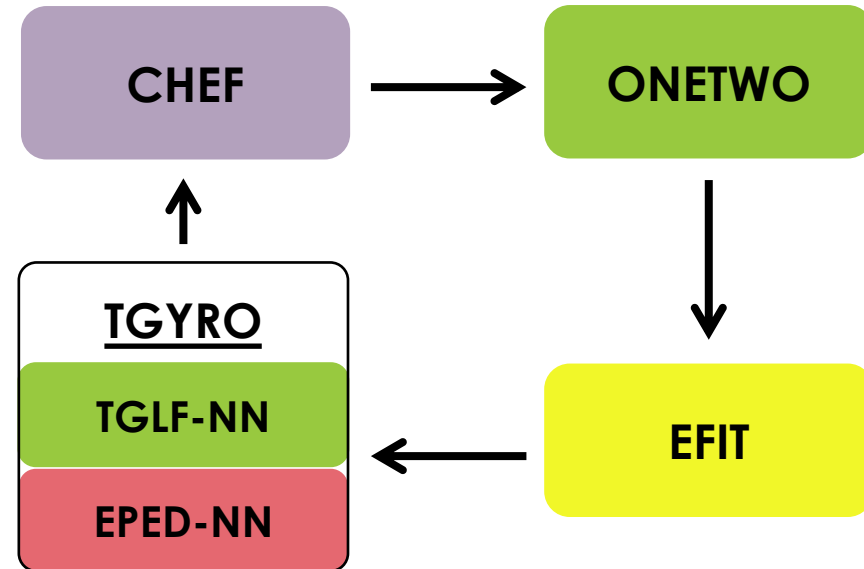
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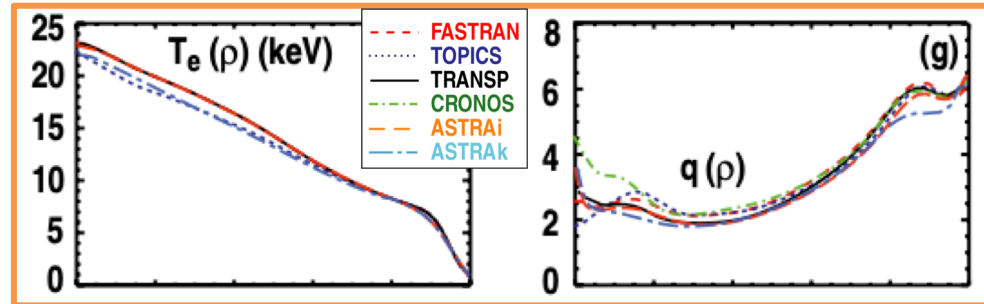
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# STEP Verified Against Integrated-Modeling Benchmark

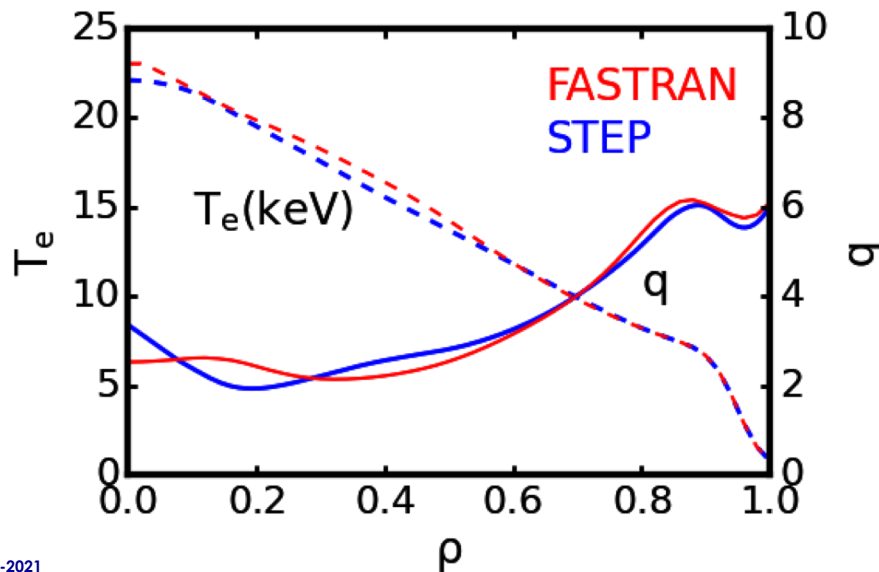
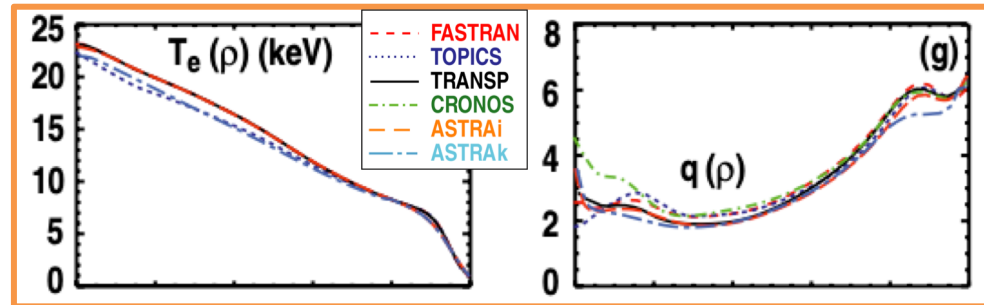
- **Variety of integrated models used to simulate ITER weak-shear, steady-state scenario**  
(*Murakami et al. 2011 Nucl. Fusion 51 103006*)
- Simulation profiles setup from ONETWO/FASTRAN simulations
- Standard, self-consistent STEP workflow with GLF23 used as transport model
- Differences from FASTRAN within benchmark uncertainties



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$$n_{i, GLF23} = \sum_i n_i$$

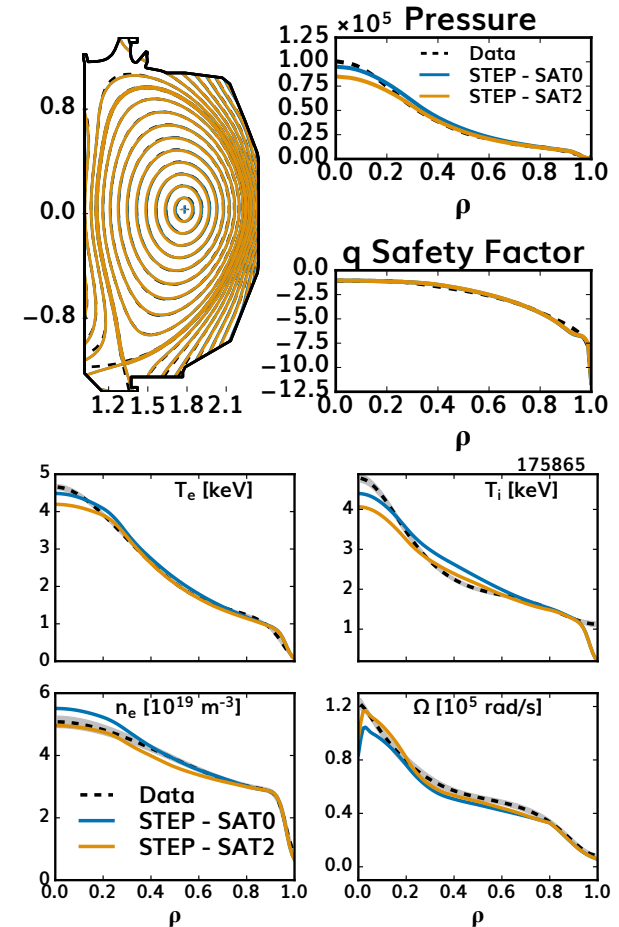


# STEP in Standard H-mode

*T. Slendebroek*

# STEP Accurately Reproduces Standard H-modes in DIII-D

- **STEP initialized with experimental equilibrium and profiles from DIII-D standard H-mode**
  - 175865 @ 2100 ms
  - High-torque phase of torque-scan experiment
- **Self-consistent workflow to steady-state given experimental sources**
  - Full TGLF & NEO with EPED-NN
  - Predicts equilibrium and profiles with high accuracy

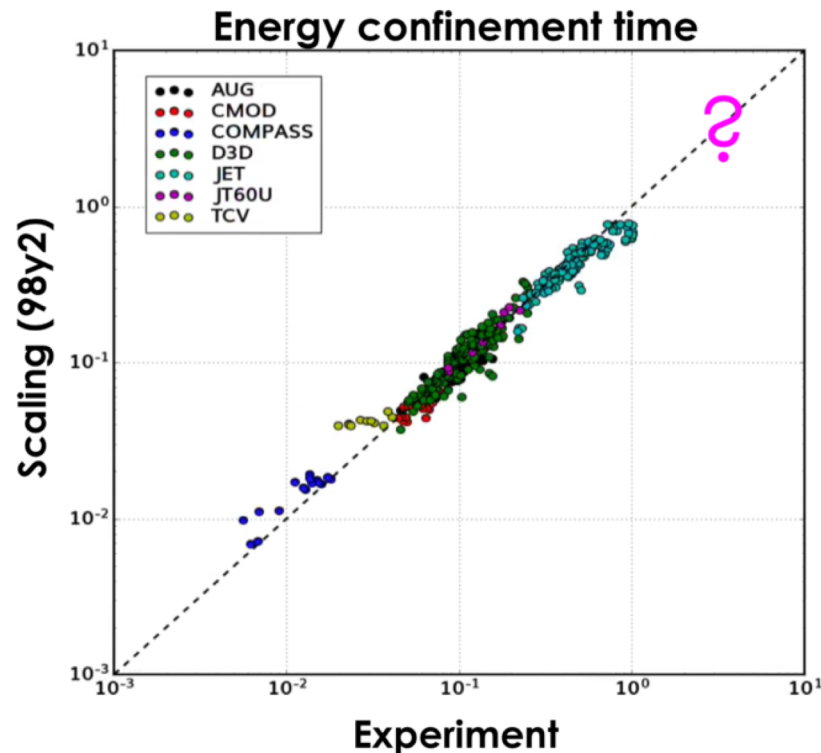


# Confinement Scaling Provides Validative and Predictive Test for STEP Modeling of Standard H-mode

- Prediction of energy confinement in future devices often based on  $H_{98,y2}$  experimental scaling

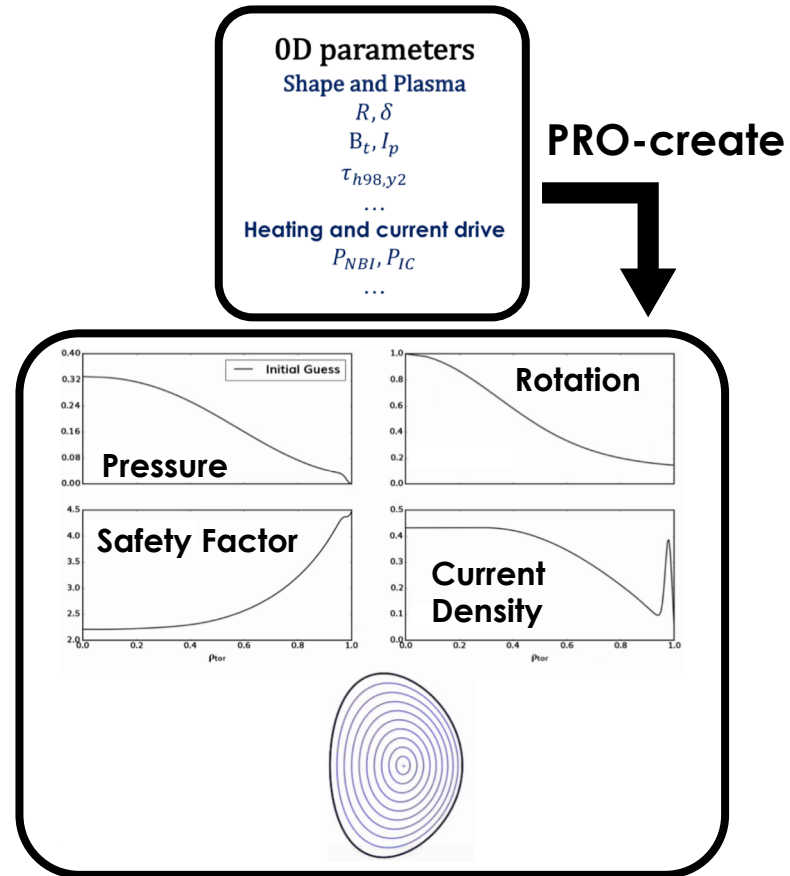
$$\tau_{eH98,y2} = 0.0562 I_p^{0.93} B_0^{0.15} P_{heat}^{-0.69} \kappa^{0.78} M_{eff}^{0.19} (10n_e)^{0.41} A^{-.58} R^{1.97}$$

- Such extrapolation of linear regression is not based on physics
- STEP can be validated against past experiments and then make physics-based predictions for future devices



# STEP Generates Self-Consistent, 1.5D Stationary Solution Starting from Zero-Dimensional Parameters

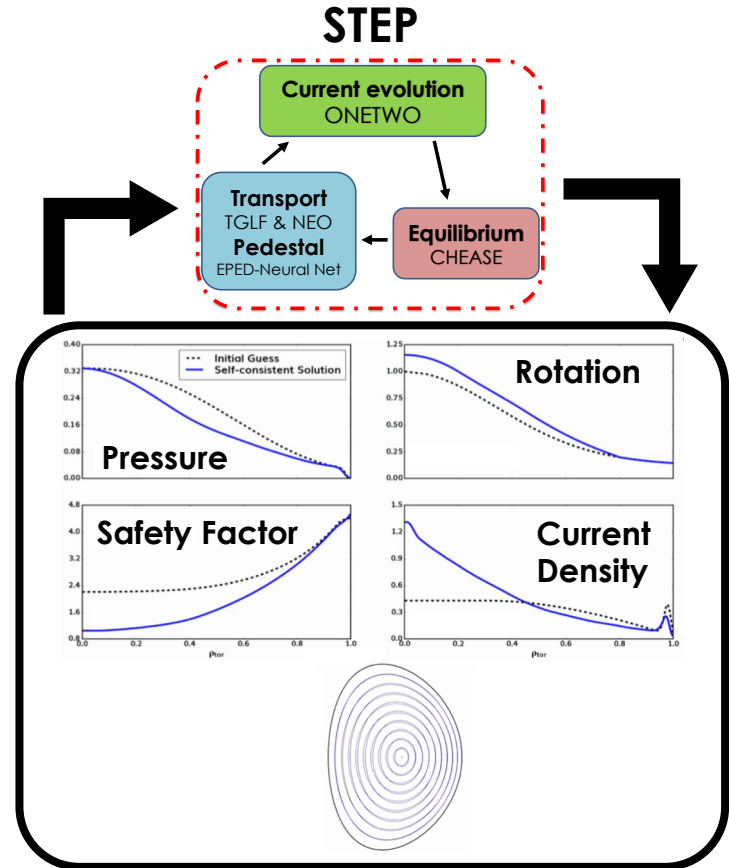
- **Profiles Creator (PRO-create)** creates starting point from 0D parameters
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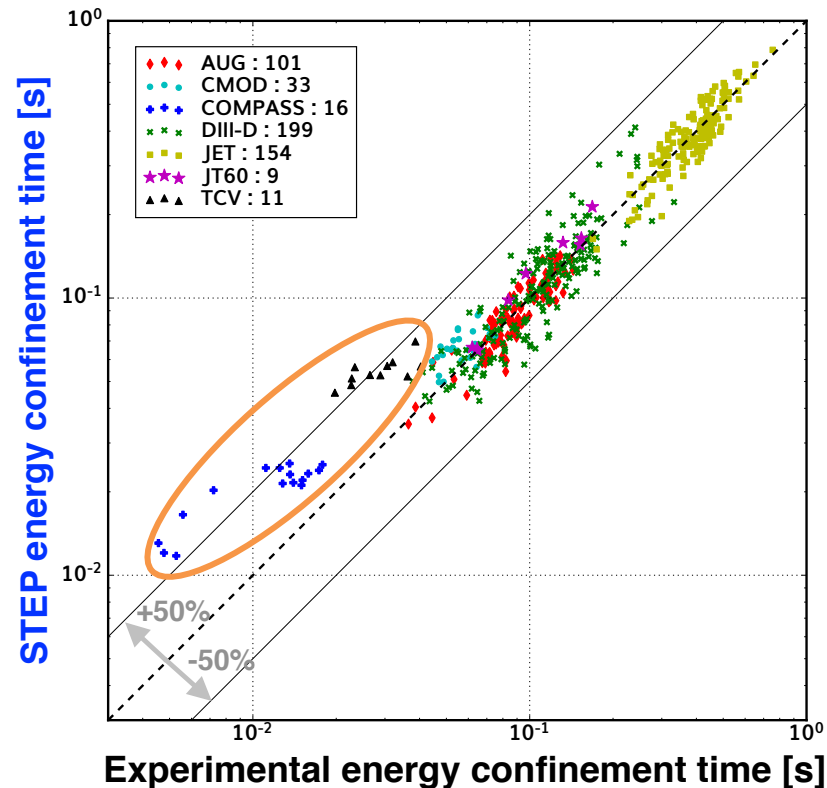
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- **STEP standard workflow iterated to steady-state**

See Slendebroek's oral  
Thursday 4:48 PM, [UO07.00014](#)



# STEP Calculations of Confinement Times in Good Agreement with Experiment and Scaling

- **PRO-create + STEP simulations performed for ~500 discharges from 7 tokamaks in H<sub>98,y2</sub> database**
  - Span three orders of magnitude in confinement time
  - Conservative and identical assumptions for all discharges
  - No tuning of free-parameters
- **Excellent agreement with experiment**
  - Mean relative error 18% (versus 22% for H<sub>98,y2</sub> regression)
  - Includes outliers (COMPASS, TCV) due to type-III ELMing discharges



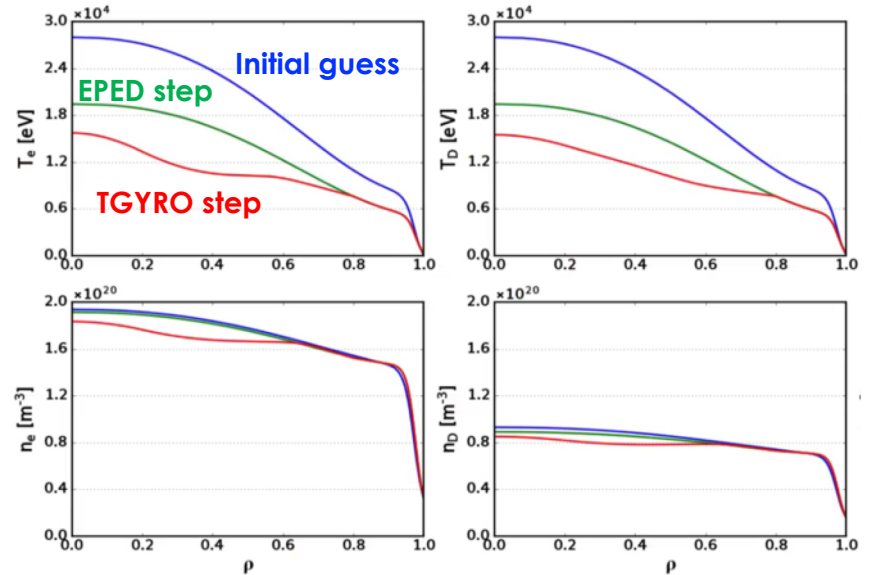


# STEP Shows Limitations of Scaling Law Approach for Future Reactors

- 0D reactor design<sup>1</sup> considered large-aspect-ratio, ignited tokamak

$$B_{\text{coil max}} = 17.6 \text{ [T]} \quad H_{98} = 1$$
$$R_0/a = 7.66 \quad P_{\text{fusion}} = 1800 \text{ [MW]}$$

- STEP predicts reduced fusion power (323 MW) due to collapse of pedestal
- STEP H-factor ~60% higher due to reduced heating power from fusion
- Lowering aspect ratio improves performance by restoring pedestal



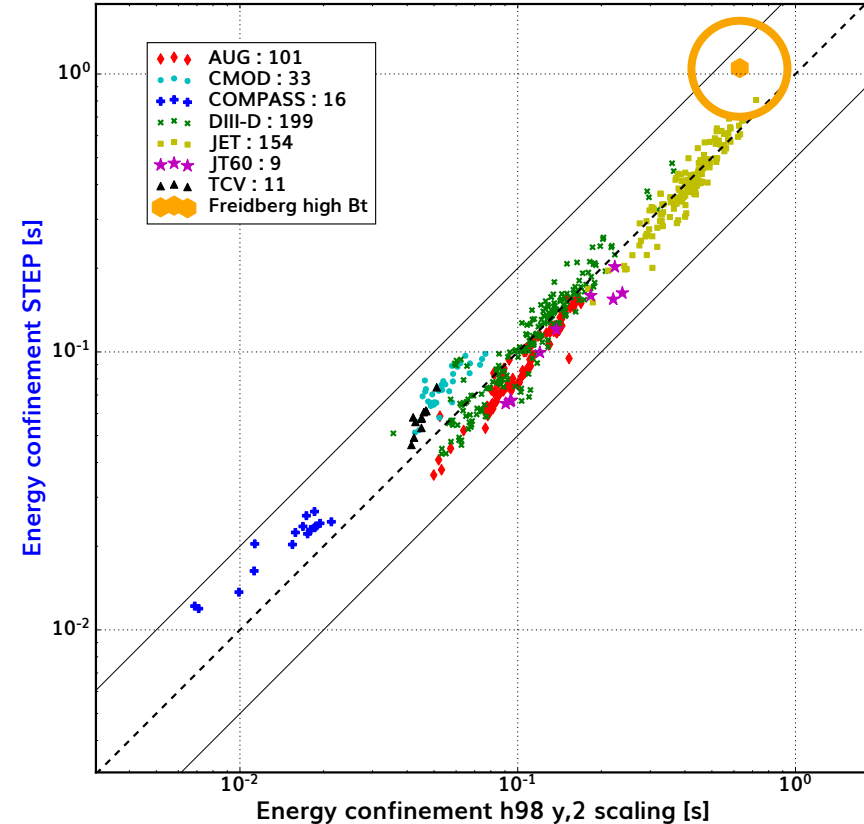
<sup>1</sup>Freidberg, Mangiarotti, & Minervini, Phys. Plasmas 22, 070901 (2015)

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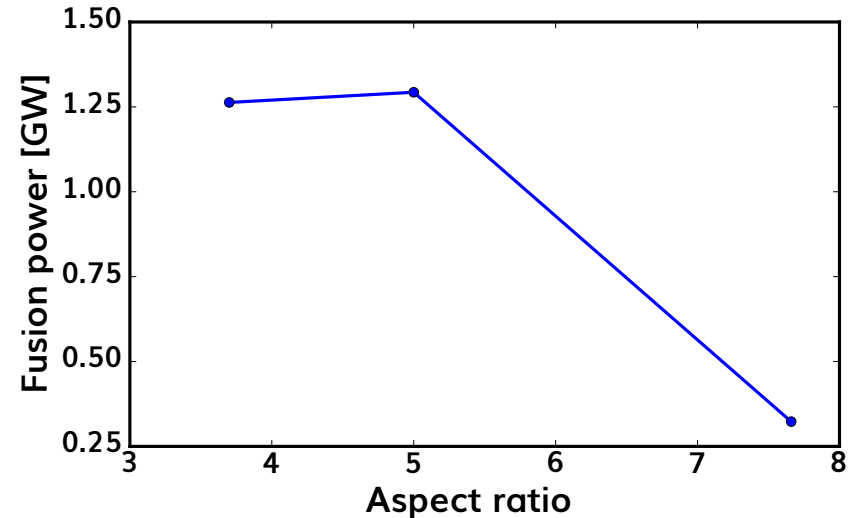


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# Assessing Performance in Negative Triangularity

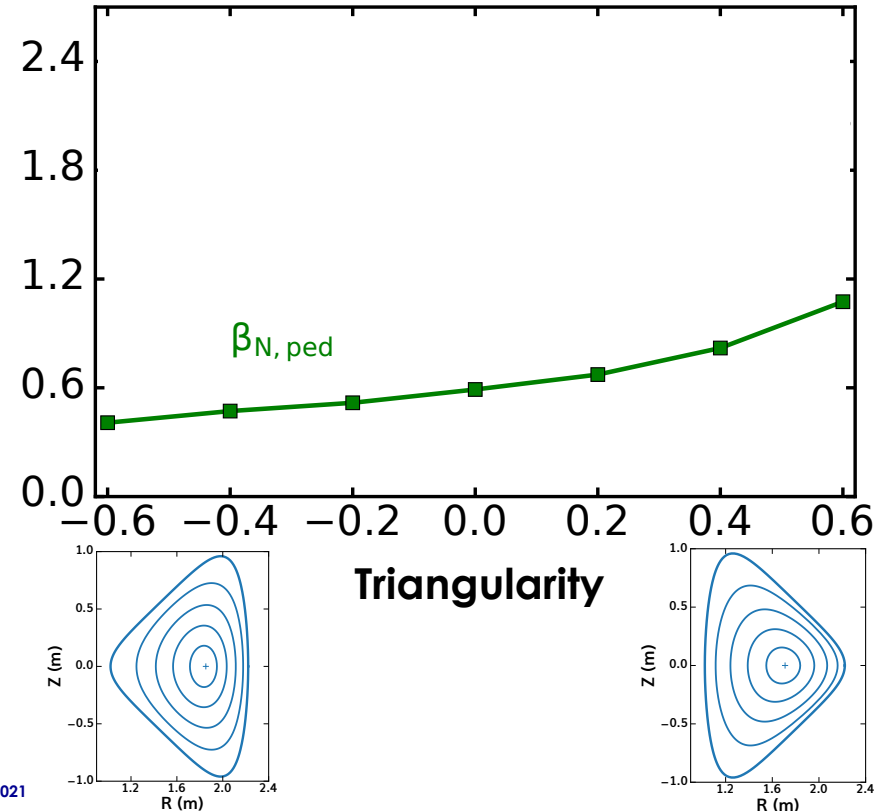
*J. McClenaghan*

# EPED Analysis of Negative Triangularity Predicts Significantly Reduced Pedestal Heights

- **Full EPED model has been run for a range of triangularities**
  - DIII-D conditions
  - Fixed  $\beta$ , pedestal density, elongation, magnetic field, and plasma current
- **Pedestal  $\beta$  in negative  $\delta$  is 50% lower than positive  $\delta$**
- **Negative- $\delta$  H-mode rarely observed<sup>1</sup>, but this provides reduced edge-confinement without bias from different edge models**

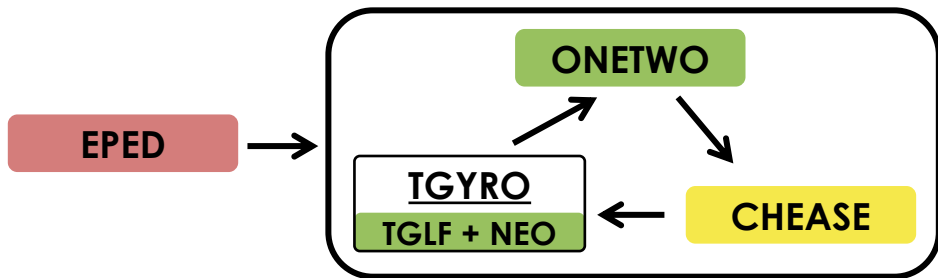
<sup>1</sup>A. Pochelon et al., Plasma and Fusion Research, 7:2502148 (2012)

*J. McClenaghan et al., forthcoming*

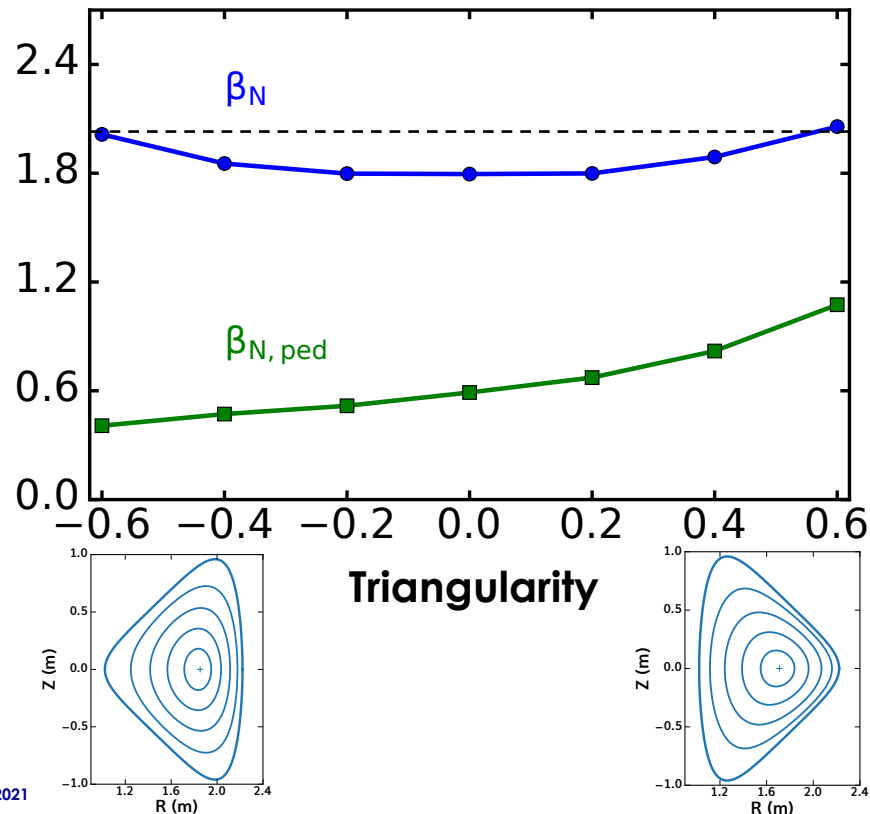


# STEP Shows Negative Triangularity has Similar Performance to Positive Triangularity Despite Lower Pedestals

- **STEP calculations performed using pre-computed EPED pedestals**
  - Work done before full EPED available in STEP
  - Pedestal height not expected to vary much in fully coupled modeling
- **U-shaped dependence of normalized  $\beta$**
- **Suppression of core turbulence offsets decreased pedestal height in negative  $\delta$**
- **Consistent with observations on TCV & DIII-D and worthy of future investigation**



*J. McClenaghan et al., to be submitted*

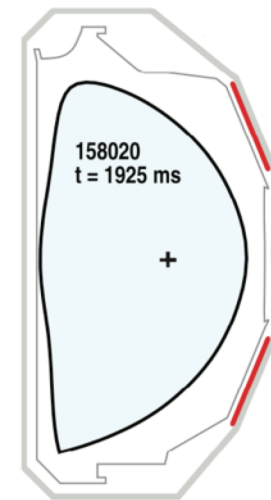
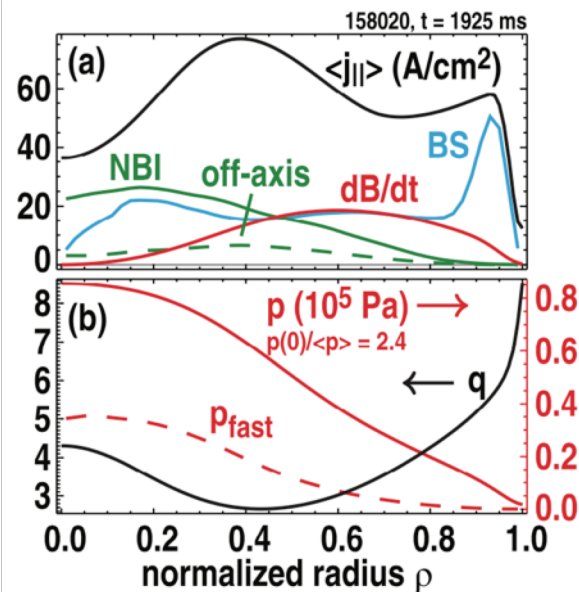


# Toward Performance Optimization with MHD Stability Constraints

*B.C. Lyons*

# Negative Central Shear (NCS) is an Advanced Tokamak (AT) Scenario with Improved MHD Stability

- **ATs often considered for steady-state reactors**
  - High bootstrap fraction
  - High beta
  - Can often be MHD unstable
- **NCS improves passive stability**
  - $q > 2$  everywhere eliminate low-order rational surfaces (e.g., 2/1, 3/2)
  - Large magnetic shear throughout most of plasma
- **NCS plasma can have core transport barriers leading to improved confinement**

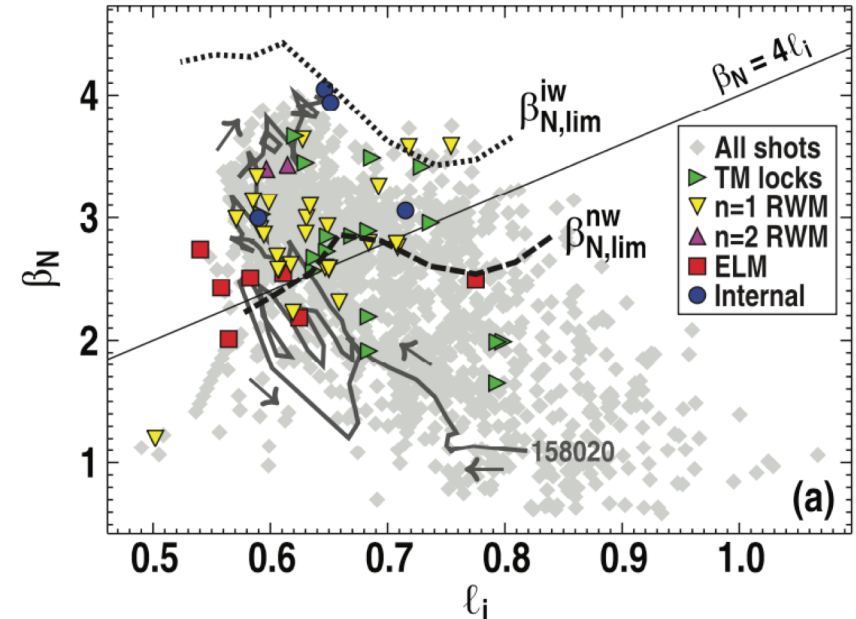


J.M. Hanson et al., Nucl. Fusion  
57, 056009 (2017)



# NCS Plasmas are Limited by Ideal-Wall Beta Limits

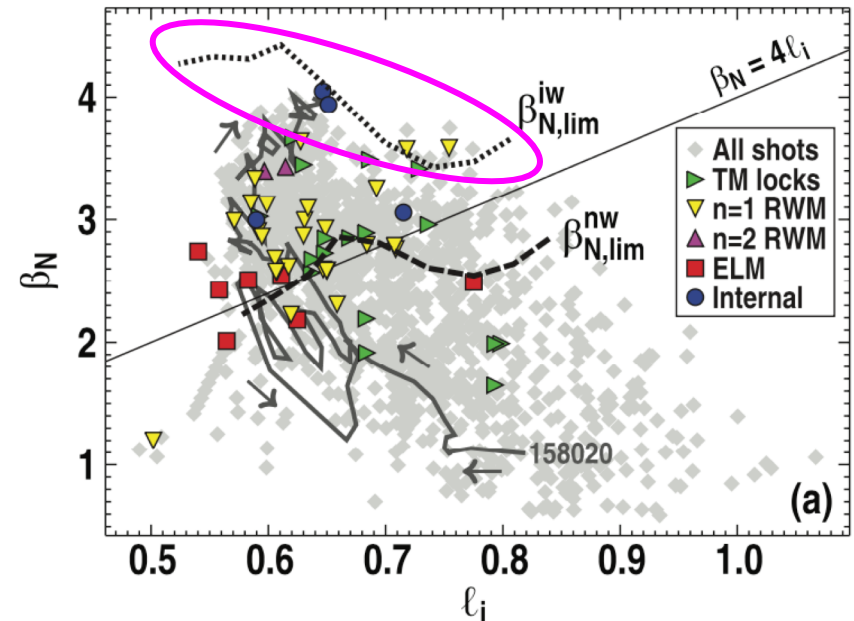
- NCS plasmas achieved a wide range of normalized  $\beta$  and internal inductance
- Typically limited by an MHD event, not transport
- Highest achievable  $\beta_N$  consistent with ideal-wall limit
- Excellent test-case for stability modeling in STEP
  - Open-loop: reproduce single equilibrium in exotic scenario
  - Closed-loop:  $\beta_N$  scan by varying NBI power



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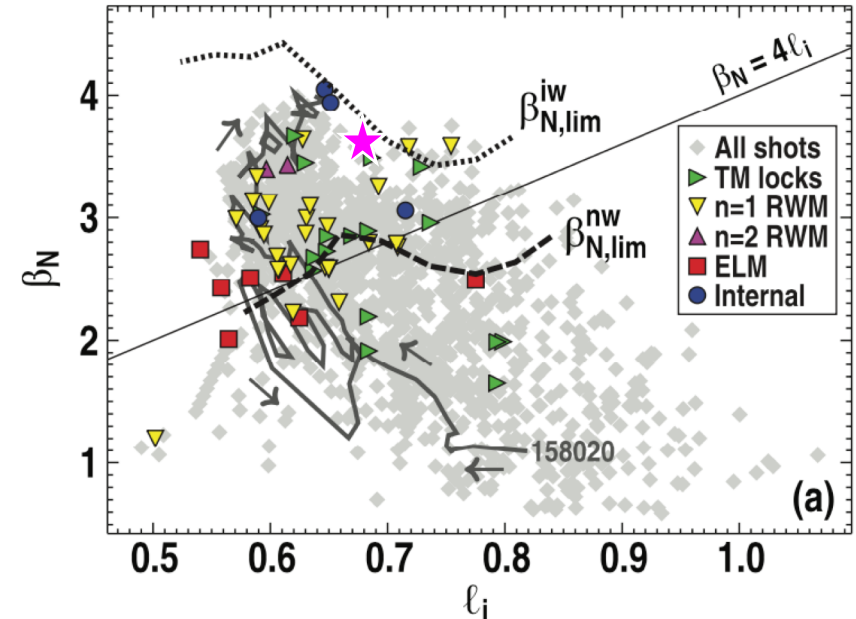
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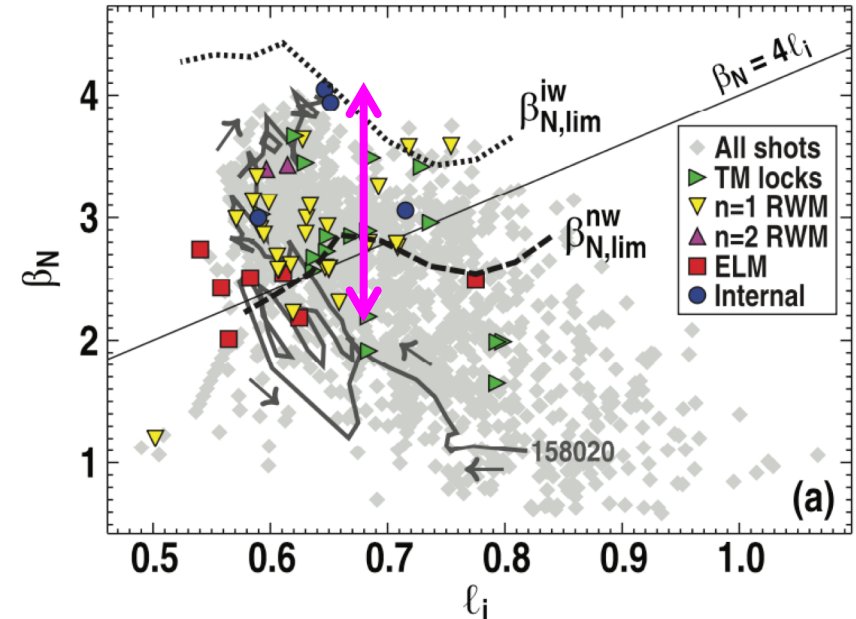
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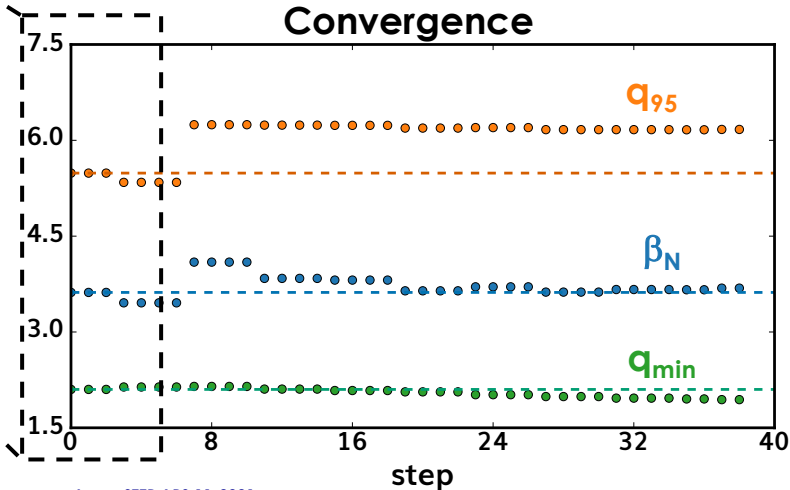
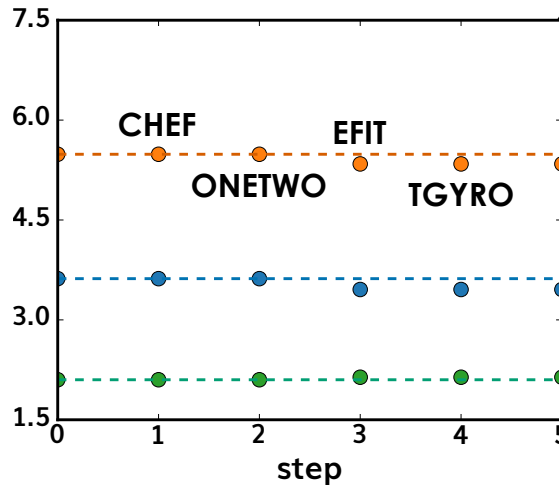
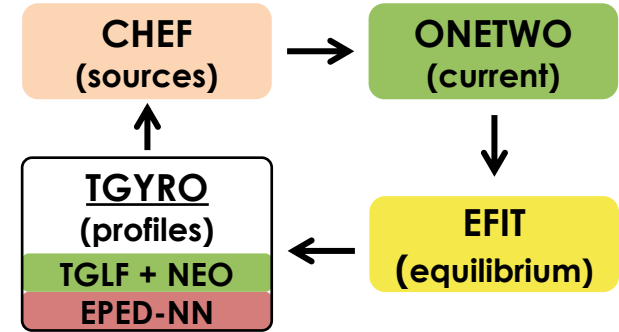


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# Open-Loop STEP Workflow with Enhancements Accurately Reproduces Negative-Central-Shear Plasmas

- Required implementation of off-axis current drive from toroidal field ramp
- Open-loop workflow converges to stationary solution
- Despite exotic scenario, STEP can reasonably predict NCS plasma conditions

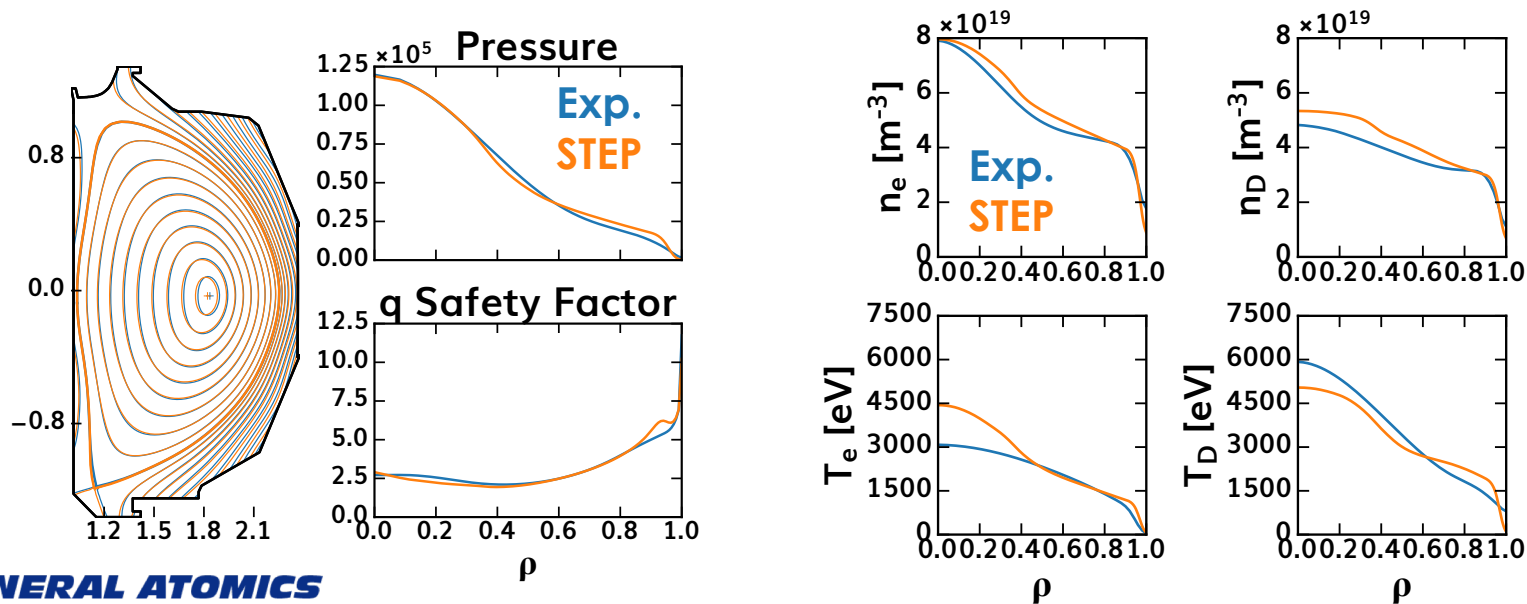
## Open-Loop Workflow



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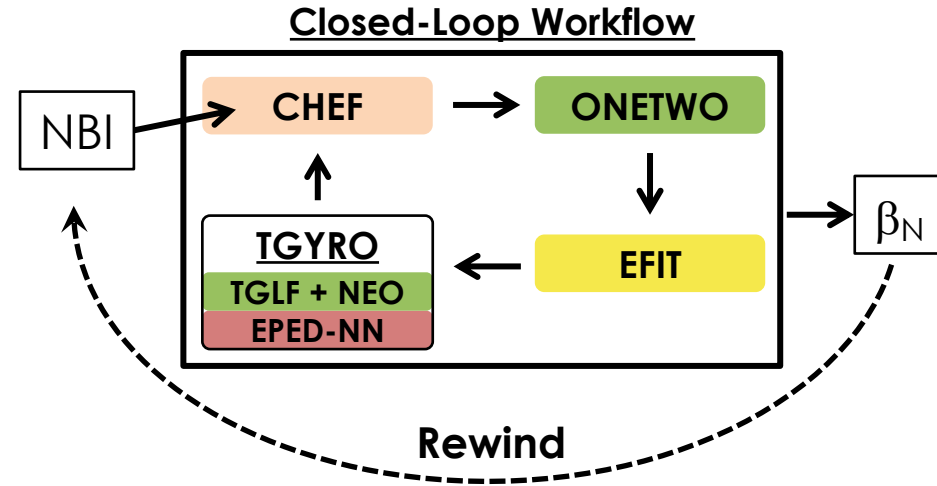
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Further refinement possible by varying fast-ion diffusion and transport model



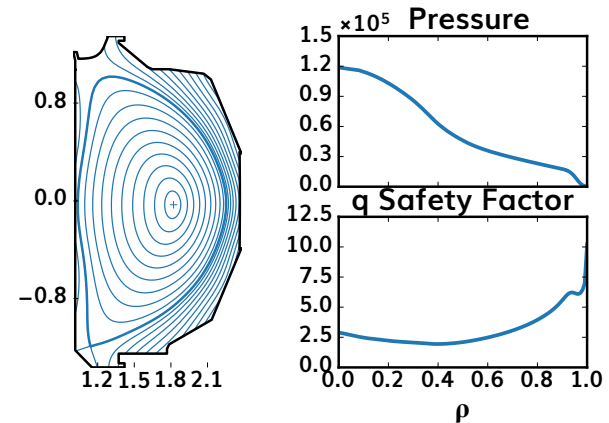
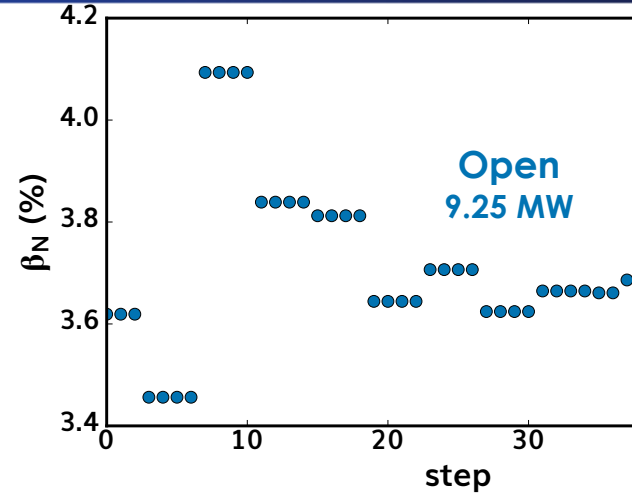
# Closed-Loop STEP Workflow will Allow Prediction of Ideal Stability Boundaries

- **STEP allows the tuning of actuators to achieve defined targets using root-finding algorithm**
  - Set actuator (e.g., NBI power)
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  - Compare solution to target (e.g.,  $\beta_N$ )
  - Rewind and iterate
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  - Scan  $\beta_N$  and internal inductance
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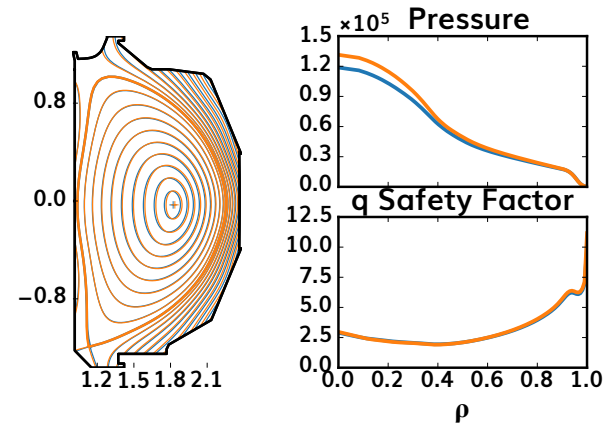
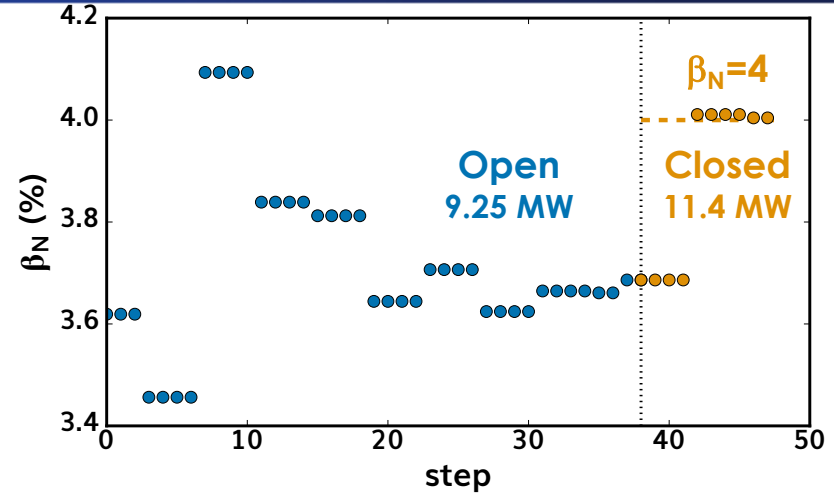
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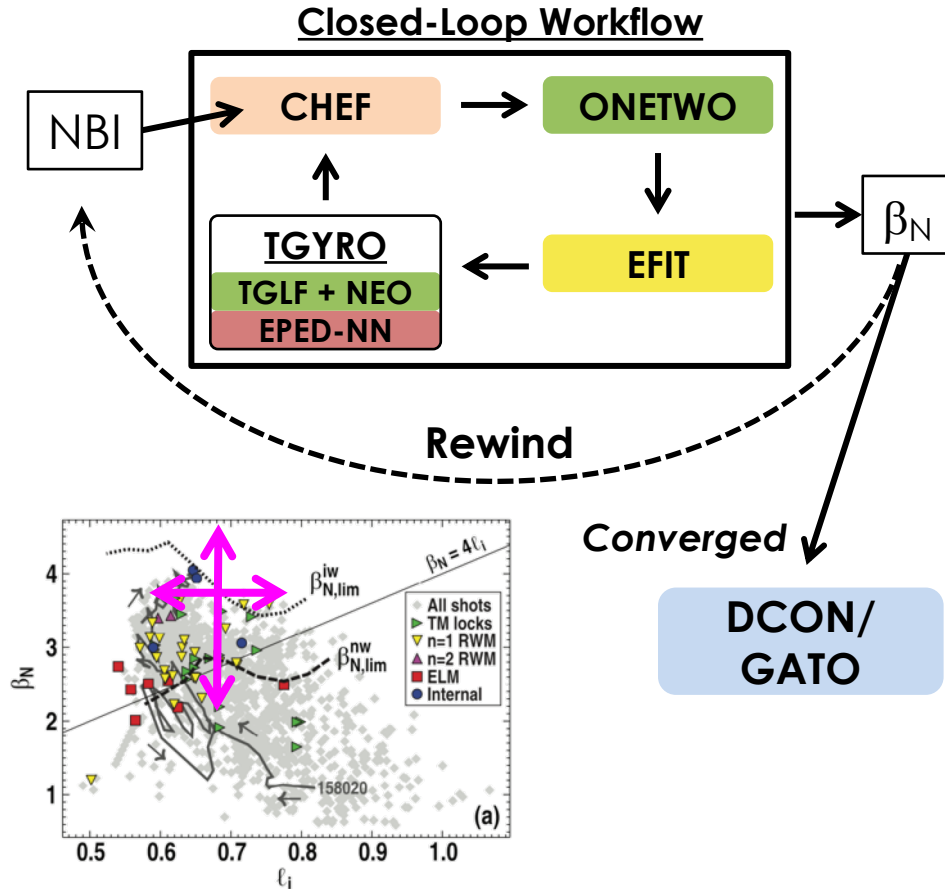
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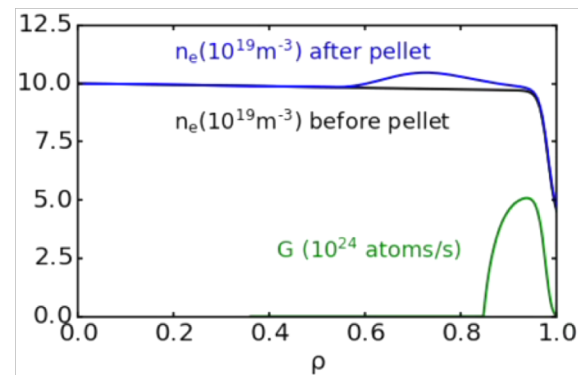
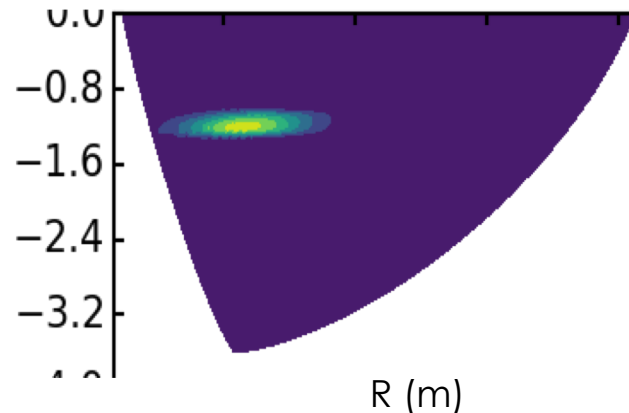
# Predicting Performance in Future Tokamaks

*J. McClenaghan*

# STEP Modeling with Pellet Fueling Predicts Improved Performance of Super-H-mode in ITER Baseline Scenario

- Previous results, optimizing only pedestal  $n_e$  and  $Z_{\text{eff,ped}}$ , predicted that the ITER baseline goal  $Q=10$  would be narrowly met
  - Solomon et al., NF 2014
  - Meneghini et al. PoP 2017
- **STEP allows for pellet-fueling studies through CHEF's Pellet Ablation Module (PAM)**
- With 6-Hz pellet fueling,  $Q=13$  predicted for super-H-mode profiles without significant optimization

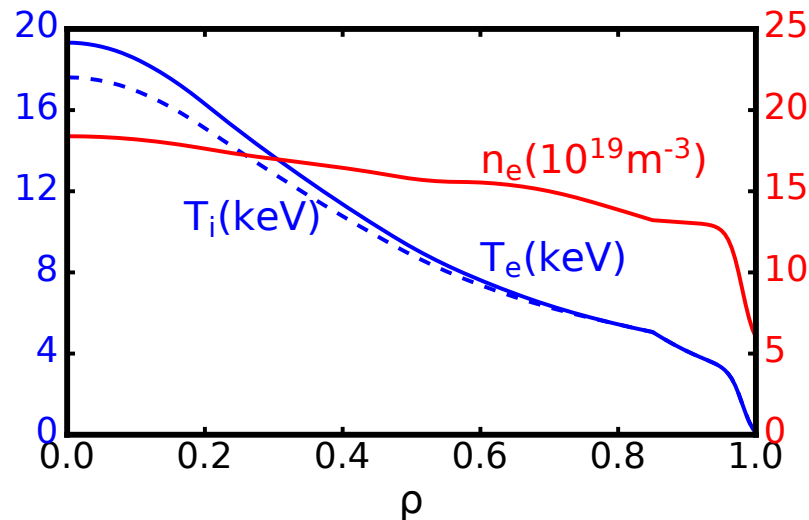
High-Field-Side ITER Fueling



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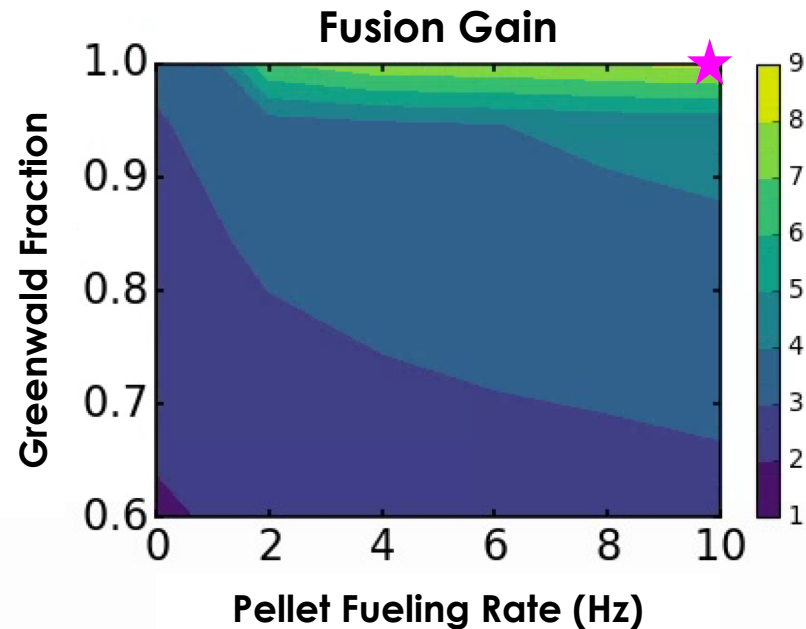
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Profiles for  $Q=13$  plasma  
ITER Baseline Super H-mode



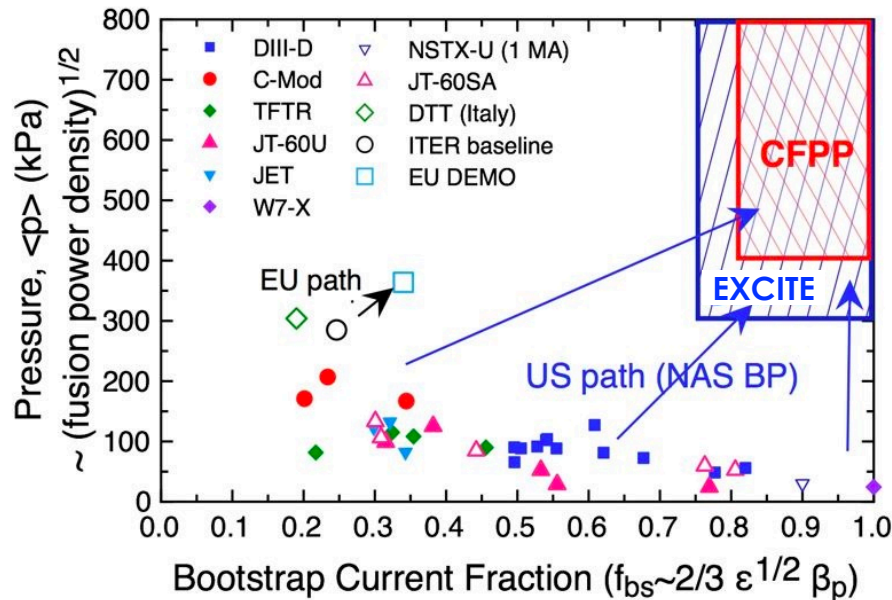
# Pellet Fueling Improves Predicted Performance in ITER Advanced-Inductive Scenario

- **STEP modeling performed for 12 MA advanced-inductive scenario**
  - Improves performance by pushing stability limits over 15 MA baseline
  - Variety of pedestal densities and core fueling rates
- **Q=9 predicted for pedestal density at Greenwald limit and rapid pellet fueling**



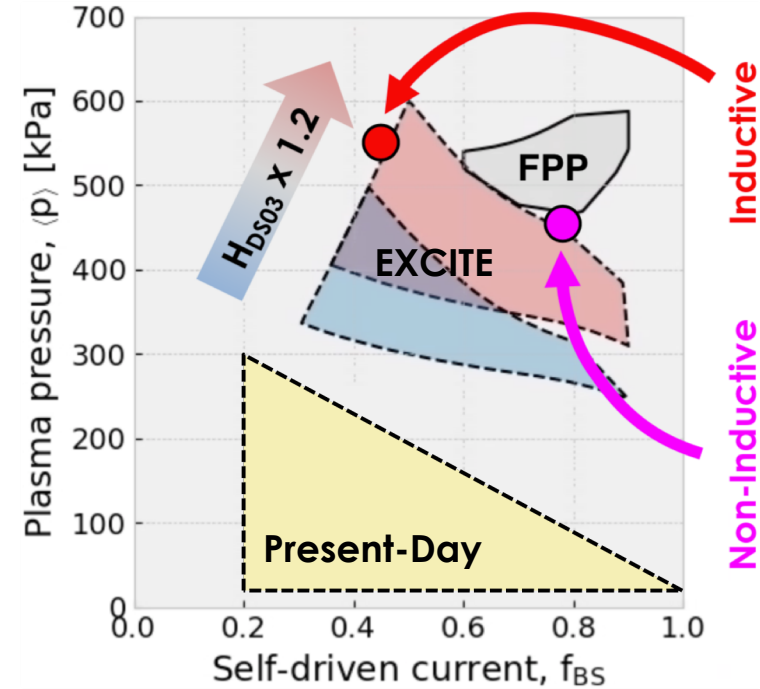
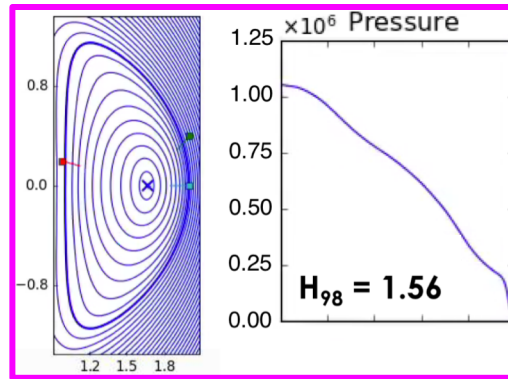
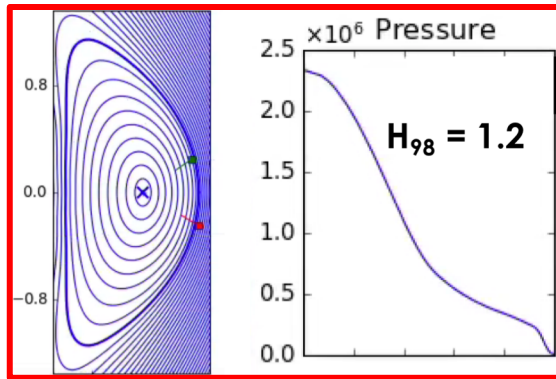
# STEP Predicts Promising Scenarios for EXCITE High-Pressure Operation

- EXCITE is the next-generation tokamak experiment proposed by NAS/FESAC community planning
- Meant to test core-edge integration at reactor-relevant conditions



# Inductive and Noninductive Scenarios Computed by STEP to Meet EXCITE Mission

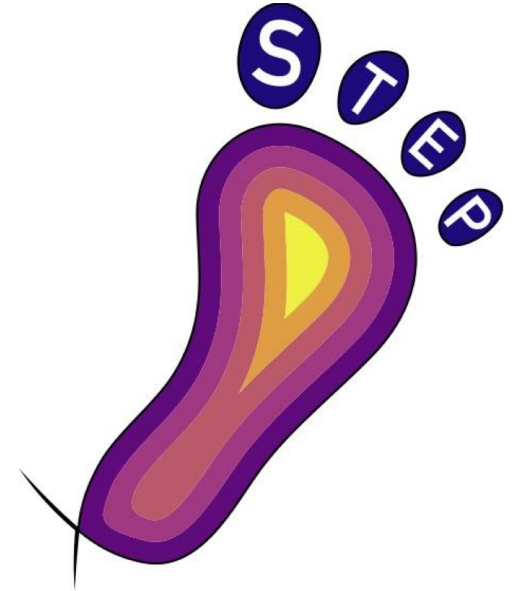
- **Inductive (High Pressure Only)**
  - $I_p = 5$  MA,  $\beta_N = 2.8$ ,  $n_{e,ped} = 4 \times 10^{20} \text{ m}^{-3}$
  - 50 MW auxiliary power (helicon & ICRF)
- **Noninductive (High Pressure & Bootstrap)**
  - $I_p \sim 3.7$  MA,  $\beta_N = 3.0$ ,  $n_{e,ped} = 2.7 \times 10^{20} \text{ m}^{-3}$
  - 40 MW auxiliary power (NBI, helicon, & ICRF)





# Conclusions

- **STEP (Stability, Transport, Equilibrium, & Pedestal)** provides a flexible tool for theory-based, predictive, integrated modeling
- **STEP is being used to analyze present experiments and to predict future tokamaks (ITER & EXCITE)**
- **Other devices can and will be considered**
  - Potential DIII-D upgrades (e.g. higher  $B_T$ )
  - NSTX-U
  - SPARC, DTT, STEP reactor, BEST/CFETR...
  - U.S. FPP



# What Would You Like STEP to Do?

**Contact:**  
Brendan Lyons  
[lyonsbc@fusion.gat.com](mailto:lyonsbc@fusion.gat.com)



## STEP-Related Talks at APS

- **Weisberg – EXCITE Design**  
JO07.00015, Tuesday 4:48 PM
- **Holland – Compact Reactor Design**  
TP11.00103, Poster Thursday AM
- **Slendebroek – Confinement Predictions**  
UO07.00014, Thursday 4:48 PM
- **Meneghini – OMAS**  
UP11.00090, Poster Thursday PM